

01-05-01

A

NEW, CONTINUATION, DIVISIONAL OR  
CONTINUATION-IN-PART APPLICATION  
UNDER 37 C.F.R. §1.53(b)

Attorney Docket No. 3174-000004

Express Mail Label No. EL 684 105 185 US

Date January 4, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Hon. Commissioner of Patents and Trademarks  
Washington, D. C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is a patent application for END CAP  
ASSEMBLY FOR A SWITCHED RELUCTANCE ELECTRIC MACHINE

identified by: ☐ First named inventor \_\_\_\_\_  
or ☒ Attorney Docket No. (see above)

1. Type of Application

☒ This application is a new (non-continuing) application.

☐ This application is a ☐ continuation / ☐ divisional / ☐ continuation-in-part of prior application  
No. \_\_\_\_\_. Amend the specification by inserting before the first line the sentence:

--This is a [continuation/division/continuation-in-part] of United States patent  
application No. \_\_\_\_\_, filed \_\_\_\_\_--

☐ The entire disclosure of the prior application, from which a copy of the oath or declaration  
is supplied, is considered part of the disclosure of the accompanying application and is  
hereby incorporated by reference therein.

If for some reason applicant has not requested a sufficient extension of time in the parent  
application, and/or has not paid a sufficient fee for any necessary response in the parent  
application and/or for the extension of time necessary to prevent the abandonment of the parent  
application prior to the filing of this application, please consider this as a Request for an Extension  
for the required time period and/or authorization to charge our Deposit Account No. 08-0750 for  
any fee that may be due. THIS FORM IS BEING FILED IN TRIPLICATE: one copy for this  
application; one copy for use in connection with the Deposit Account (if applicable); and one copy  
for the above-mentioned parent application (if any extension of time is necessary).

2. Contents of Application

a. Specification of 27 pages;

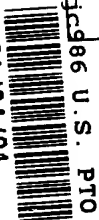
- ☐ A microfiche computer program (Appendix);  
☐ A nucleotide and/or amino acid sequence submission;

☐ Because the enclosed application is in a non-English language, a verified English  
translation ☐ is enclosed ☐ will be filed.

☐ Cancel original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At  
least one original independent claim must be retained for filing date purposes.)

b. ☒ Drawings on 5 sheets;

01/04/01



09/754537 010401

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- c. ☒ A signed Oath/Declaration ☒ is enclosed / ☐ will be filed in accordance with 37 C.F.R. §1.53(f).

The enclosed Oath/Declaration is ☒ newly executed / ☐ a copy from a prior application under 37 C.F.R. §1.63(d) / ☐ accompanied by a statement requesting the deletion of person(s) not inventors in the continuing application.

d. **Fees**

	Number Filed	Number Extra	Rate	Basic Fee
<b>FILING FEE</b>				\$710.00
<b>CALCULATION</b>				
Total Claims	35 - 20 = 15		\$18.00 =	\$270.00
Independent Claims	6 - 3 = 3		\$80.00 =	\$240.00
Multiple Dependent Claim(s) Used .....			\$270.00 =	\$0.00
<b>FILING FEE - NON-SMALL ENTITY .....</b>				\$1,220.00
<b>FILING FEE - SMALL ENTITY: Reduction by 1/2 .....</b>				
<input type="checkbox"/> Verified Statement under 37 C.F.R. §1.27 is enclosed. <input type="checkbox"/> Verified Statement filed in prior application.				
Assignment Recordal Fee (\$40.00) .....				\$40.00
37 C.F.R. §1.17(k) Fee (non-English application) .....				
<b>TOTAL .....</b>				<b>\$1260.00</b>

- ☒ A check is enclosed to cover the calculated fees. The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to Deposit Account No. 08-0750. A duplicate copy of this document is enclosed.

- ☐ The calculated fees will be paid within the time allotted for completion of the filing requirements.
- ☐ The calculated fees are to be charged to Deposit Account No. 08-0750. The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to said Deposit Account. A duplicate copy of this document is enclosed.

3. **Priority Information**

- ☐ **Foreign Priority:** Priority based on \_\_\_\_\_ Application No. \_\_\_\_\_, filed \_\_\_\_\_, is claimed.
- ☐ A copy of the above referenced priority document ☐ is enclosed / ☐ will be filed in due course, pursuant to 35 U.S.C. §119(a)-(d).
- ☐ **Provisional Application Priority:** Priority based on United States Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_, is claimed under 35 U.S.C. §119(e).

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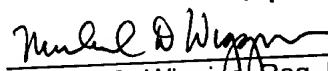
4. Other Submissions

- ☐ A Preliminary Amendment is enclosed.
- ☐ An Information Disclosure Statement, \_\_\_\_\_ sheets of PTO Form 1449, and \_\_\_\_\_ patent(s)/publications/documents are enclosed.
- ☒ A power of attorney  
    ☒ is submitted ☒ with the new Oath/Declaration.  
    ☐ is of record in the prior application and ☐ is in the original papers / ☐ a copy is enclosed.
- ☒ An Assignment of the invention  
    ☒ is enclosed with a cover sheet pursuant to 37 C.F.R. §§ 3.11, 3.28 and 3.31.  
    ☐ is of record in a prior application. The assignment is to \_\_\_\_\_, and is recorded at Reel \_\_\_\_\_, Frame(s) \_\_\_\_\_.
- ☐ An Establishment of Assignee's Right To Prosecute Application Under 37 C.F.R. §3.73(b), and Power Of Attorney is enclosed.
- ☒ An Express Mailing Certificate is enclosed.
- ☒ Other: Postcard

Attention is directed to the fact that the correspondence address for this application is:

Harness, Dickey & Pierce, P.L.C.  
P.O. Box 828  
Bloomfield Hills, Michigan 48303  
(248) 641-1600.

Respectfully,

  
Michael D. Wiggins Reg. No. 34,754

Date 01/04/2001  
Harness, Dickey & Pierce, P.L.C.  
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(248) 641-1600

**HARNESS, DICKEY & PIERCE, P.L.C.**

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Date: January 4, 2001

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

**EXPRESS MAILING CERTIFICATE**

Applicant: Bernard G. Harter et al.  
Serial No. (if any): To Be Assigned  
For: END CAP ASSEMBLY FOR A SWITCHED RELUCTANCE  
ELECTRIC MACHINE  
Docket: 3174-000004  
Attorney: Michael D. Wiggins  
"Express Mail" Mailing Label Number EL 684 105 185 US  
Date of Deposit January 4, 2001

I hereby certify and verify that the accompanying Check in the amount of \$1260.00 (\$710-filing fee; \$270-15 excess claims; \$240-3 extra independent claims; \$40-recordal fee); Transmittal Letter Under 37 C.F.R. § 1.53(b) (in triplicate); 27-Page Patent Application; Executed Declaration and Power of Attorney; Five (5) Sheets of Drawings; Executed Assignment with attached Cover Sheet (in duplicate); and return postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Stephanie Probst  
Signature of person mailing document(s)

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

01/09/2001 MAILED 00000026 09754537

01 FC:101	710.00	DP
02 FC:102	240.00	DP
03 FC:103	270.00	DP